## **REMARKS**

With the present amendment, claims 37-38 and 40-42 have been cancelled, claims 53, 59, and 60 have been amended, and claims 53-60 are pending. Pursuant to 37 C.F.R. §1.118(a), Applicants respectfully submit that the foregoing amendments do not introduce any new material into the application.

#### I. Detailed Action

Applicants acknowledge that the relevant art in the present case has been cited to the USPTO in the previously submitted form 1449. The list of references contained in the specification encompasses background information and certain known methods that may be useful as noted and described in the specification.

### II. Objections

The disclosure is objected to by the Examiner because allegedly none of the sequences in the specification are identified by their SEQ ID NOs. Applicants note that a sequence listing containing 54 sequences was submitted in the parent application and also that an updated sequence listing was filed with the present application. Claims 53-60 refer to SEQ ID NO:2. The specification has been amended to refer to the biological sequences using their corresponding SEQ ID NOs as based upon the sequence listing. Additionally, in order to correlate with the issued parent application, the specification has also been amended to include the appropriate subheadings. No new matter is introduced by these amendments.

## III. Rejections under 35 U.S.C. §101

Claims 37-38, 40-42, and 53-60 stand rejected under 35 U.S.C. 101, as allegedly being directed to non-statutory subject matter. Claims 37-38 and 40-42 have been cancelled.

Claims 53-60 all refer to truncated versions or modified versions (claim 60) of the protein described in Figure 10 and referred to as SEQ ID NO:2. The truncated forms are shortened versions of the protein that do not normally occur in nature. The modified version has a substituted amino acid (isoleucine for methionine) at residue 48. Thus, Applicants believe that these claims are all presently in condition for allowance as they are directed to statutory subject matter according to 35 U.S.C. §101.

# IV. Rejections under 35 U.S.C. §112

Claims 53-58 stand rejected under 35 U.S.C. 112, second paragraph, as allegedly being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention. Claims 53-58 encompass a variety of deletions selected from the N-terminal 76 amino acids of the protein identified as SEQ ID NO:2. The Examiner has asked for clarification regarding the metes and bounds of these claims. The Examiner has accurately characterized the scope of these claims as being amino acid fragments of SEQ ID NO:2 that lack the specifically recited N-terminal amino acid/s. Claim 53 has been amended to include the phrase "a toxin protein fragment of SEQ ID NO:2". Claim 59 has been similarly amended. Claim 60 has been amended to read "a modified toxin protein of SEQ ID NO:2". Thus, Applicants believe that claims 53-60 clearly describe the modified proteins or protein fragments of the invention and respectfully request that this rejection be withdrawn.

#### V. Rejections under 35 U.S.C. §102

Claim 37 stands rejected under 35 U.S.C. 102 (e) as being anticipated by Herrnstadt et al. (A2- US Patent 4,771,131). Claim 37 is cancelled.

VI. Rejections under the judicially created doctrine of obviousness-type double

patenting over U.S. Patent No. 5,495,071.

Claims 37-38, 40-42, and 53-56 stand rejected under the judicially created

doctrine of obviousness-type double patenting over claims 19-20 of U.S. Patent No.

5,495,071. Applicants are herein filing a terminal disclaimer to obviate the double patenting

rejection as it applies to pending claims 53-56.

Applicants believe that the remaining claims are in condition for allowance, and

respectfully request that they be allowed. The Examiner is encouraged to call the undersigned

should any further action be required for allowance.

It is believed that no fees are due at this time. However, should any fees under 37

C.F.R. §§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, the

Commissioner is authorized to deduct said fees from Deposit Account No. 01-

2508/11899.0195.DVUS01.

Respectfully submitted,

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## Marked versions of replacement paragraphs

- At page 1, line 1, before "INSECT-RESISTANT PLANTS", please insert a new paragraph (subheading) -- Title of the Invention--.
- At page 1, line 8, before "Bacillus thuringiensis (B.t.) is a spore", please insert a new paragraph (subheading) --Background of the Invention--.
- At page 2, line 10, before "Although certain chimeric", please insert a new paragraph (subheading) --Brief Summary of the Invention--.
- At page 4, please replace paragraphs 2-8, corresponding to lines 9-26, with the following new paragraphs:
- --Figure 1 shows the DNA probes (SEQ ID NOS:4-8) used for isolation of the B.t.t. toxin gene.
  - Figure 2 shows the steps employed in the preparation of plasmid pMON5432.
- Figure 3 shows the orientation of the 3.0 kb HindIII fragment encoding the toxin gene in pMON5420 and pMON5421 with respect to the multilinker of pUCl19.
- Figure. 4 shows the strategy utilized for sequencing of the *B.t.t.* toxin gene contained in pMON5420 and pMON5421.
- Figure 5 shows the DNA sequence and location of restriction sites for the 1932 bp ORF (SEQ ID NO:1) of the *B.t.t.* gene encoding the 644 amino acid toxin protein (SEQ ID NO:2).
  - Figure 6 shows the bands observed for *B.t.t.* toxin following SDS-PAGE analysis.
- Figure 7 shows the N-termini of proteins expressed from the *B.t.t.* toxin gene or proteolytically produced *in vivo* in *B.t.t.* (SEQ ID NO:2, amino acids 1-300).—
- At page 5, please replace paragraph 1, corresponding to lines 1-2, with the following new paragraph:
- -- Figure 10 shows the deletions produced in evaluation of *B.t.t.* toxin protein mutants (SEQ ID NO:2). --

At page 5, please replace paragraph 9, corresponding to lines 18-19, with the following new paragraph:

-- Figure 18 shows the DNA sequence for the enhanced CaMV35S promoter (SEQ ID NO:33).--

At page 9, please replace paragraph 3, corresponding to lines 27-34, with the following new paragraph:

-- All protein structures represented in the present specification and claims are shown in conventional format wherein the amino group at the N-terminus appears to the left and the carboxyl group at the C-terminus at the right. Likewise, amino acid nomenclature for the naturally occurring amino acids found in protein is as follows: alanine (ala;A) (Ala; A), asparagine (Asn;N), aspartic acid (Asp;D), arginine (Arg;R), cysteine (Cys;C), glutamic acid (Glu;E), glutamine (Gln;Q), glycine (Gly;G), histidine (His;H), isoleucine (Ile;I), leucine (Leu;L), lysine (Lys;K), methionine (Met;M), phenylalanine (Phe;F), proline (Pro;P), serine (Ser;S), threonine (Thr;T), tryptophan (Trp;W), tyrosine (Tyr;Y) and valine (Val;V).--

At page 12, please replace paragraph 1, corresponding to lines 1-9, with the following replacement paragraph:

### Peak A and B (SEQ ID NO:3, amino acids 1-15):

1 5 10 15 Met Asn Pro Asn Asn Arg Ser Glu His Asp Thr Ile Lys Thr Thr

#### Peak C (SEQ ID NO:34):

1 5 10 15 Met X Pro X Thr Arg Ala Leu Asp Asp Thr Ile Lys Lys Asp 16 Val Ile Gly Lys X represents an undeterminent amino acid.--

At page 23, please replace Table 3, corresponding to lines 17-30, with the following replacement Table 3:

#### -- TABLE III

Synthetic Oligonucleotides Used for Sequencing the B.t.t. Insecticidal Toxin Gene				
Primer		Template	Sequence	Location 1
Bttstart (SEQ ID NO	):35)	pMON5420	tgaacatggttagttgg	291-275
Bttext (SEQ ID NO:36)		pMON5421	taggtgatctctaggcg	422-439
Bttseq (SEQ ID NO:37)		pMON5421	ggaacaaccttctctaatat	1156-1175
BttA1* (SEQ ID NO:38)		pMON5421	atgaayccnaayaaycg	205-222
BttA2* (SEQ ID NO:39)		pMON5421	garcaygayacyathaa	227-242
* $y = t$ or $c$ .	r = a  or  g.	h = t, c or a. r	a = a, g, c  or  t.	

<sup>&</sup>lt;sup>1</sup> The location of the primers is based on the total of 2615 bases sequenced. Sequencing from pMON5420 proceeded toward the amino acid end and from pMON5421 toward the carboxyl end (see Figure 3). --

At page 29, please replace paragraph 2, corresponding to lines 12-32, with the following replacement paragraph:

--\_Desired Site Primer (SEQ ID NO:40)

#### Ncol GATTGTTCGGATCCATGGTTCTTCCTCCCT

The generation of the NcoI site at the N-terminus has changed the second amino acid from asparagine to aspartic acid. This change does not affect insect toxicity. BamHI and StyI sites have also been generated as a consequence of the introduction of this NcoI site. The plasmid containing the NcoI site has been designated pMON9759. The 2.5 kb NcoI-HindIII fragment containing the toxin encoding segment from pMON9759 was then cloned into NcoI-HindIII digested pMON5634 to produce pMON5436. Referring to Figure 16, pMON5634 is a pBR327 based plasmid which also contains the f1 phage origin of replication. The vector contains a synthetic *recA* promoter which is induced by nalidixic acid. The gene 10 leader from phage T7 (described in--

At page 38, please replace paragraph 1, corresponding to lines 3-18 with the following replacement paragraph:

## -- Construction of pMON5438 (Hpal, C-terminal Deletion of 463 bp)

pMON5420 was digested with HpaI and ligated with the following synthetic terminator linker. The linker contains nonsense codons in each reading frame and a BglII 5' overhang.

5'-TAGTAGGTAGCTAGCCA-3' (SEQ ID NO:41)
3'-ATCATCCATCGATCGGTCTAG-5' (SEQ ID NO:42)

The ligation was digested with BgIII, to remove multiple linker inserts and then re-ligated. The ligation was transformed into JM10l and pMON5430 was isolated. To generate a NcoI site at the start of the truncated gene, the 2.32 kb PstI fragment of pMON9759 was replaced with the 1.47 kb PstI fragment of pMON5430 and the new construct was designated pMON5434. The 1.57 kb NcoI/HindIII fragment from pMON5434 was cloned into the *E. coli* high expression vector pMON5634, to create pMON5438. --

At page 40, please replace paragraph 2, corresponding to lines 17-31, with the following replacement paragraph:

# -- Construction of pMON5456 (Band 3 Mutant, N-terminal Deletion of 140 bp)

A NcoI site was introduced into pMON5420 at the ATG for band 3 by site directed mutagenesis as described above using the primer:

Mutagenesis Primer – BTTLOOP (SEQ ID NO:43)
CGTATTATTATCTGCATCCATGGTTCTTCCTCCT

to create pMON5455. The mutagenesis also deleted the upstream sequence which encodes the N-terminal 48 amino acids of band 1. The NcoI/HindIII fragment from pMON5455 was cloned into the *E. coli* high expression vector pMON5634 to create pMON5456. This plasmid expresses only band 3. The generation of the NcoI site changes the second amino acid from thionine threonine to aspartic acid. --

At page 41, please replace paragraphs 1-2, corresponding to lines 1-25 with the following replacement paragraphs:

## -- Construction of pMON5460 (Mutant Band 1 Gene with MET48 Changed to ILE)

The codon for methionine at position 48 in pMON9759 was changed to a codon for isoleucine by site directed mutagenesis as described above using the primer:

Mutagenesis Primer - BTTMET (SEQ ID NO:44)

#### ATTATTATCTGCAGTTATTCTTAAAAACTCTTTAT

to create pMON5458. The NcoI/HindIII fragment of pMON5458 was cloned into the *E. coli* high expression vector pMON5634 to create pMON5460. By removing the ATG codon which initiates translation of band 3 protein, pMON5460 produces only band 1 protein with an isoleucine residue at position 48.

## Construction of pMON5467 (Band 5 Mutant, N-terminal Deletion of 293 bp)

A NcoI site was introduced into pMON5420 to create a N-terminal deletion of ninetyeight amino acids by site directed mutagenesis using the primer:

Mutagenesis Primer (SEQ ID NO:45)

#### TCACTTGGCCAAATTGCCATGGTATTTAAAAAGTTTGT

to create pMON5466. A methionine and alanine were also inserted by the mutagenesis. The NcoI/HindIII fragment from pMON5466 was cloned into the *E. coli* high expression vector pMON5634 to create pMON5467.--

At page 44, please replace paragraph 1, corresponding to lines 1-15, with the following replacement paragraphs:

#### -- CONSTRUCTION OF PLANT TRANSFORMATION VECTORS

The B. t. var. tenebrionis toxin gene contained in pMON5420 was modified for incorporation into plant expression vectors. A BglII site was introduced just upstream of the ATG codon which specifies the initiation of translation of the full-length B.t.t. toxin protein (referred to as band 1) using the site specific mutagenesis protocol of Kunkel (1985) as

previously described. The sequence of the *B.t.t.* toxin gene in the region of the initiator ATG is:

ATGATAAGAAAGGGAGGAAGAAAATGAATCCGAACAATCGAAGTGAACATGATACAATA (SEQID NO:46)
MetAsnProAsnAsnArgSerGluHisAspThrIle (SEQ ID NO:47)

The primer for this mutagenesis (bttbgl) was 27 nucleotides in length and has the sequence:

CGGATTCATT TTAGATCTTC CTCCCTT (SEQ ID NO:48)--

At page 47, please replace paragraph 1, corresponding to lines 1-21, with the following replacement paragraph:

-- pMON9753 contained approximately 400 bp of 3' noncoding sequence beyond the termination codon. Since this region is not necessary for toxin production it was removed from the *B.t.t.* toxin gene segments inserted in pMON893. In order to create a *B.t.t.* toxin gene containing no 3' flanking sequence, a BglII site was introduced just after the termination codon by the method of Kunkel (1985). The sequence of the *B.t.t.* toxin gene around the termination codon is:

GTTTATATAGACAAAATTGAATTTATTCCAGTGAATTAAATTAACTAGAAAGTAAAGAAG (SEQ ID NO:49)
ValTyrlleAspLyslleGluPhelleProValAsnEnd (SEQ ID NO:50)

Mutagenesis was performed with a primer (bttcterm) of sequence:

CTTTCTAGTT AAAGATCTTT AATTCACTG (SEQ ID NO:51)

Mutagenesis of the *B.t.t.* toxin gene was performed in pMON9758. A plasmid which contains the new BglII site was designated pMON9787 (Figure 12). Because pMON9787 contains a BglII site just upstream of the ATG initiation codon, the full coding sequence for the *B.t.t.* toxin gene with essentially no 5' or 3' flanking sequence is contained on a BglII fragment of about 1940 bp.--

At page 48, please replace paragraph 1, corresponding to lines 1-12, with the following replacement paragraph:

-- that, as was the case for the *B.t.k.* gene, truncated forms of the *B.t.t.* gene might be more easily expressed in plant cells. Therefore, a modified *B.t.t.* toxin gene was constructed in which the region upstream of the band 3 ATG codon has been removed. In order to remove this sequence, a BglII site was inserted just upstream of the band 3 ATG by the method of Kunkel (1985). The sequence surrounding the band 3 ATG is:

CCAAATCCAACACTAGAAGATTTAAATTATAAAGAGTTTTTAAGAATGACTGCAGATAAT (SEQ ID NO:52)

ProAsnProThrLeuGluAspLeuAsnTyrLysGluPheLeuArgMetThrAlaAspAsn(SEQIDNO:53)

Mutagenesis was performed with primer (bttnterm) of sequence:

ATCTGCAGTC ATTGTAGATC TCTCTTTATA ATTT (SEQ ID NO:54)--